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Quebec, Canada

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Louis-Philippe Vézina et al.

Serial No.:

09/678,303

Filing Date:

October 3, 2000

Art Unit:

1638

Title:

PROMOTER FOR REGULATING EXPRESSION OF FOREIGN

GENES

FIRST INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

NO FEE

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on a PTO-1449 form is a listing of the documents known to applicant in order to comply with applicant's duty of disclosure pursuant to 37 C.F.R. § 1.56.

The submission of any document which is not a statutory bar is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a <u>prima facie</u> prior art reference against the claims of the present application.

Statement of Relevancy

The listed documents are being submitted either in compliance with 37 C.F.R. §1.97(b), within three (3) months of the filing date of a national application or of the date of entry of the national stage in an international application, or before the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or pursuant to 37 C.F.R. §1.97(e)(1), within three (3) months from the date of an office action or of a search report issued in a foreign counterpart application citing each of the documents contained in the present statement; or pursuant to 37 C.F.R. §1.97(e)(2), within three (3) months from the first knowledge of each submitted document by any individual designated in C.F.R. §1.56(c), when each such document was not cited in a communication from a foreign patent office in a counterpart foreign application.

In the case of submission under 37 C.F.R. §1.97(e)(1) and (2), the undersigned Attorney/Agent of Record hereby certifies that the enclosed list of references is hereby submitted within three months (1) from the issuance of the foreign action or search report, or (2) from said first knowledge, respectively.

The Examiner is kindly requested to consider these references during the examination of the above-identified application, making the references of record, and to return an initialed copy of the PTO-1449 Form to the below-signed agent.

Respectfully,

Data

Agent of the Applicant Marie-Hélène ROCHON

e Xelene Johan

Reg. No. 57,566

OGILVY RENAULT, LLP

Customer Number: 020988

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2

Substitute for form 1449A and B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)

Sheet

Complete if Known					
Application Number	09/678,303				
Filing Date	October 3, 2000				
First Named Inventor	Louis-Philippe Vézina				
Art Unit	1638				
Examiner Name	Georgia L. Helmer				
Attorney Docket Number	14149-4US				

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		US 4,962,028	09-10-1990	Bedbrook John R. et al.	
		US 5,110,732	03-14-1989	Benfey, P. N. et al.	

FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	T	
Initials*	No.1	Country Code3 - Number4 - Kind Code5 (# known)	MM-DD-YYYY	Applicant Of Cited Document	Where Relevant Passages or Relevant Figures Appear	T°	
		WO 0056906	09-28-2000	Rance, lann et al.			
		WO 01/25455	10-04-1999	Vézina LP. et al.			
						i	

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examin er Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		LAST D.I. et al., "Plastocyanin is encoded by a single-copy gene in the pea haploid genome "Plant Molecular Biology, Vol. 12, 1989, pages 655-666	
		KENG-HOCK Pwee et al. "The pea plastocyanin promoter directs cell-specific but not full light-regulated expression in transgenic tobacco plants" The Plant Journal, G.B. Blackwell Scientific Publications, Oxford, Vol. 3, no. 3, 1993, pages 437-449	
		JEFFERSON R.A. et al. "GUS fusions B-glucuronidase as a sensitive and versatile gene fusion marker in higher plants" The EMBO Journal, 1987, 6(13): 3901-3907	
		DARVEAU A. et al. "PCR-mediated synthesis of chimeric molecules " Methods in Neurosciences, 1995, 26: 77-85	
		KHOUDI H. et al. "Production of a diagnostic monoclonal antibody in perennial alfalfa plants" Biotechnology and Bioengineering, 1999, 64(2): 135-143	
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		MURASHIGE T. & SKOOG F. "A revised medium for rapid growth and bioassays with tobacco tissue cultures" Physiologia Plantarum, 1962 15: 473-497	

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Examiner	Date	
Signature	Considered	

^{*}EXAMINER; Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A and B/PTO		Complete if Known			
				Application Number	09/678,303
INF	INFORMATION DISCLOSURE			Filing Date	October 3, 2000
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Louis-Philippe Vézina
	OTATEMENT DI ATTEMANT			Art Unit	1638
(use as many sheets as necessary)			ecessary)	Examiner Name	Georgia L. Helmer
Sheet	2	of	2	Attorney Docket Number	14149-4US

DESGAGNÉS et al. "Genetic transformation of commercial breeding lines of alfalfa (Medicago sativa)", Plant Cell Tissue Organ Culture, 1995, 42: 129-140
SANGER et al. "DNA sequencing with chain-terminating inhibitors », P.N.A.S. USA 1977, 74: 5643-5647
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SANDER et al., "Structure and expression of a nitrite reductase gene from bean (<i>Phaseolus Vulgaris</i>) and promoter analysis in transgenic tobacco" Plant molecular biology, 1995, 27: 165-177
DE VRIES et al. 1988, "Isolation of total and polysomal RNA from plant tissue", Plant Molecular Biology Manual, Dordrecht: Kluwer Academic Publisher, 1988, 86 pages 1-13

Examiner	Date	
Signature	Considered	

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